

12-27-01

A

12/24/01
1054 U.S. PTO

PATENT APPLICATION TRANSMITTAL	Atty Docket : 4652/1B	Total Pages: 53
	Express Mail Label No.: EL888337769US	

BOX PATENT APPLICATION

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Re: Applicant(s) or Identifier: Brijesh AGARWAL et al.
U.S. App. No.: To be Assigned
Filed: Herewith
Title METHOD AND SYSTEM FOR COMPUTER-IMPLEMENTED
TRADING OF SECONDARY MARKET DEBT SECURITIES

Kindly file the annexed papers indicated below:

- ☒ Application for Patent including Specification (31 pages) and Drawings (18 sheets)
- ☒ Declaration and Power of Attorney (3 pages) (unexecuted)
- ☐ Non-publication request
- ☐ Assignment w/ recordal and \$40.00 check
- ☒ Return postcard

The fee has been calculated as follows:

	Claims	Claims Paid for	Extra	Rate (Sm. Ent.)	Fee	Rate	Fee
BASIC FILING FEE				\$ 740/370	\$	\$ 740	\$ 740
Total	33	- 20 =	13	x \$ 18/9	\$	x \$18	\$ 234
Indep.	6	- 3 =	3	x \$ 84/42	\$	x \$84	\$ 252
Assignment recordal				+ \$ 40		+ \$40	\$
				TOTAL			\$1,226

☐ A check in the amount of \$ _____ is enclosed . The Commissioner is hereby authorized to charge any deficiency in fee, or credit any overpayment, to the undersigned attorney's Deposit Account No. _____.

Respectfully submitted,

Dated: 28 December 2001

By: [Signature]
Matthew J. Marquardt
Reg. No. 37,410
Brown Raysman Millstein Felder & Steiner LLP
Attorney for Applicants
900 Third Avenue
New York, New York 10022
Phone: (212) 895-2000
Fax: (212) 895-2900

Customer Number 29858

10/026359
12/24/01